

**NONPROVISIONAL PATENT  
APPLICATION TRANSMITTAL RULE §1.53(b)  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Docket No. 108339-00010

Date: October 11, 2000

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is a nonprovisional patent application:

For (Title): APPARATUS AND METHOD FOR SECURE FIELD UPGRADABILITY  
WITH UNPREDICTABLE CIPHERTEXT

By (Inventors): Anders JOHNSON

- ☒ 39 pages of Specification/Claims 1-33/Abstract are attached.  
☒ Formal drawings (Figs. 1-4; 4 sheets are attached).  
☒ A Declaration and Power of Attorney is attached.  
☒ An assignment of the invention to Broadcom Corporation is attached, along with Form PTO-1595  
☐ An Information Disclosure Statement is attached, along with Form PTO-1449, and \_\_\_\_\_  
reference(s).  
☐ A Statement to establish small entity status under 37 C.F.R. §§1.9 and 1.27 is attached.  
☐ A Preliminary Amendment is attached.  
☐ Please amend the specification by inserting before the first line the sentence --This  
nonprovisional application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_, filed  
\_\_\_\_\_.  
☐ Priority of foreign application No. \_\_\_\_\_ filed \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. §119.  
☐ A certified copy of the above corresponding foreign application is attached.

The filing fee is calculated below and includes claim status after entry of any Preliminary  
Amendment noted above:

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	33 - 20	= 13
INDEP CLAIMS	3- 3	= 0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS		

**SMALL ENTITY**

RATE	FEE
	\$ 355
x 9 =	\$
x 40 =	\$
+135 =	\$
TOTAL	\$

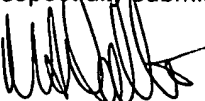
OR

**LARGE ENTITY**

RATE	FEE
	\$ 710
x 18	\$ 234
x 80	\$ 0
+270	\$
TOTAL	\$ 944

- ☐ A check for the filing fee is not enclosed at this time.  
☒ A check in the amount of \$984.00, including the Assignment Recordation fee, is attached.  
Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300.

Respectfully submitted,

  
N. Alexander Nolte  
Registration No. 45,689

10/11/00  
JC913 U.S. PTO  
09/685885

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